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16424 U.S. PTO
10/65828
09/10/03

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	215105.01400
		First Inventor	Cary James MILLER
		Title	IMMUNOASSAY DEVICE WITH IMPROVED SAMPLE CLOSURE
		Express Mail Label No.	

APPLICATION ELEMENTS		ADDRESS TO:	
See MPEP chapter 600 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit on original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)		
3. <input checked="" type="checkbox"/> Specification [Total Pages 69] (Preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Sequence Listing, a table, or a computer program listing appendix - Background of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies		
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 24]	ACCOMPANYING APPLICATIONS PARTS		
5. Oath or Declaration [Total Pages 2] a. <input checked="" type="checkbox"/> Unexecuted Declaration b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(g)(2) and 1.33(a).	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))		
	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement [Power of Attorney (when there is an assignee)]		
	11. <input type="checkbox"/> English Translation Document (if applicable)		
	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]		
	13. <input type="checkbox"/> Preliminary Amendment		
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)		
	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).		
	17. <input type="checkbox"/> Applicant must attach form PTO/SB/35 or its equivalent.		
	18. Other: 		
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPLICANTS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

19. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		27160		or <input type="checkbox"/> Correspondence address below	
Name: Katten Muchin Zavis Rosenman					
Address: 525 West Monroe Street, Suite 1600					
City: Chicago		State: Illinois	Zip Code: 60661-3693		
Country: United States		Telephone: 202 625-3621	Fax: 312 902-1061		
Name (Print/Type):	Robert W. Hahl Gilbert M. Hahlborn, Esq. No. 34.038	Registration No. (Attorney/Agent):		33,893	
Signature:		Date:	September 10, 2003		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: CAMPBELL *et al.*

Application No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: September 10, 2003 (concurrently herewith)

Examiner: Not Yet Assigned

For: IMMUNOASSAY DEVICE WITH IMPROVED
SAMPLE CLOSURE

Attorney Docket No. 215105.01400

TRANSMITTAL LETTER

Mail Stop Patent Application
Commissioner for Patents
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Sir:

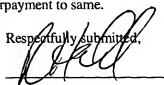
Submitted herewith are the following documents for filing in the above-referenced application:

1. Postcards (2);
2. Fee Transmittal Sheet;
3. Utility Application Transmittal;
4. Specification w/ 69 pages of Spec., (including 62 claims); Abstract (1 page);
5. Drawings Sheets 24 pages, Figures 1-24;
6. Application Data Sheet;
7. Unexecuted Declaration and Power of Attorney;
8. Check in the amount of \$1926.00

AUTHORIZATION

Applicants believe there is no additional fee due in connection with this filing. However, to the extent required, the Commissioner is hereby authorized to charge any fees due in connection with this filing to Deposit Account 50-1710 or credit any overpayment to same.

Respectfully submitted,



Robert W. Hahl, PH.D.
Registration No. 33,893
Gilberto M. Villacorta, PH.D.
Registration No. 34,038

Patent Administrator
KATTEN MUCHIN ZAVIS ROSENMAN
525 West Monroe Street, Suite 1600
Chicago, Illinois 60661-3693
Facsimile: (312) 902-1061
Dated: September 10, 2003

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PTO/SB/17 (10-02)

FEE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small>				Complete if Known																																																																																																																																																																																																																																																																																																																					
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<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account Deposit Account Number: 50-170 Deposit Account Name: KATTEN MUCHIN ZAVIS ROSENMAN The Commissioner is hereby authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>400</td><td>2252</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>920</td><td>2253</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,440</td><td>2254</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,960</td><td>2255</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,280</td><td>2453</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,280</td><td>2501</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>460</td><td>2502</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>620</td><td>2503</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(g)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per procoerty (times number of procoerties)</td><td></td></tr> <tr><td>1809</td><td>740</td><td>2809</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>740</td><td>2810</td><td>370</td><td>For each additional invention to be examined (37CFR 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>740</td><td>2801</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="4"></td><td>Other fee (specify)</td><td>3.00 Advance order copies of patent</td></tr> <tr> <td colspan="4" style="text-align: right; padding: 5px;">SUBTOTAL (1) (\$) 750.00</td> <td colspan="2" style="text-align: right; padding: 5px;">SUBTOTAL (3) (\$) 1,926.00</td> </tr> <tr> <td colspan="6" style="padding: 5px;"> 1. 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1451	1,510	1451	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																																																																																					
1452	110	2452	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																																																																																					
1453	1,280	2453	640	Petition to revive - unintentional																																																																																																																																																																																																																																																																																																																					
1501	1,280	2501	640	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																																																					
1502	460	2502	230	Design issue fee																																																																																																																																																																																																																																																																																																																					
1503	620	2503	310	Plant issue fee																																																																																																																																																																																																																																																																																																																					
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																																					
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)																																																																																																																																																																																																																																																																																																																					
1806	180	1806	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																																																					
8021	40	8021	40	Recording each patent assignment per procoerty (times number of procoerties)																																																																																																																																																																																																																																																																																																																					
1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																																																																					
1810	740	2810	370	For each additional invention to be examined (37CFR 1.129(b))																																																																																																																																																																																																																																																																																																																					
1801	740	2801	370	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																																																																																					
1802	900	1802	900	Request for expedited examination of a design application																																																																																																																																																																																																																																																																																																																					
				Other fee (specify)	3.00 Advance order copies of patent																																																																																																																																																																																																																																																																																																																				
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1. BASIC FILING FEE <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1001</td><td>740</td><td>2001</td><td>370</td><td>Utility filing fee</td><td>750.00</td></tr> <tr><td>1002</td><td>330</td><td>2002</td><td>165</td><td>Design filing fee</td><td></td></tr> <tr><td>1003</td><td>510</td><td>2003</td><td>255</td><td>Plant filing fee</td><td></td></tr> <tr><td>1004</td><td>740</td><td>2004</td><td>370</td><td>Reissue filing fee</td><td></td></tr> <tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td></td></tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (1)</td> <td style="text-align: right;">750.00</td> <td></td> </tr> </tbody> </table>						Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	740	2001	370	Utility filing fee	750.00	1002	330	2002	165	Design filing fee		1003	510	2003	255	Plant filing fee		1004	740	2004	370	Reissue filing fee		1005	160	2005	80	Provisional filing fee		SUBTOTAL (1)				750.00																																																																																																																																																																																																																																																																							
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Total Claims</th> <th colspan="2">Extra Claims</th> <th colspan="2">Fee Paid</th> </tr> <tr> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>62</td> <td>- 20 =</td> <td>42</td> <td>x</td> <td>18 = 756.00</td> </tr> <tr> <td>Independent Claims</td> <td>8</td> <td>- 3 =</td> <td>5</td> <td>x</td> <td>84 = 420.00</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td></td> <td>1,176.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (2)</td> <td style="text-align: right;">1,926.00</td> <td></td> </tr> </tbody> </table>						Total Claims		Extra Claims		Fee Paid								Total Claims	62	- 20 =	42	x	18 = 756.00	Independent Claims	8	- 3 =	5	x	84 = 420.00	Multiple Dependent					1,176.00	SUBTOTAL (2)				1,926.00																																																																																																																																																																																																																																																																																	
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4. SUBMITTED BY <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: left;">Name (Print/Type)</th> <th colspan="2" style="text-align: left;">Registration No. (Attorney/Agent)</th> <th colspan="2" style="text-align: left;">Telephone</th> </tr> </thead> <tbody> <tr> <td colspan="2" style="vertical-align: top;">Robert W. Hah for: Gilbert M. Villalobos Registration No. 34,038</td> <td colspan="2" style="vertical-align: top;">33,893</td> <td colspan="2" style="vertical-align: top;">202.625.3500</td> </tr> <tr> <td colspan="2" style="vertical-align: top;">Signature</td> <td colspan="2" style="vertical-align: top;">Date</td> <td colspan="2" style="vertical-align: top;">September 10, 2003</td> </tr> </tbody> </table>						Name (Print/Type)		Registration No. (Attorney/Agent)		Telephone		Robert W. Hah for: Gilbert M. Villalobos Registration No. 34,038		33,893		202.625.3500		Signature		Date		September 10, 2003																																																																																																																																																																																																																																																																																																			
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